

Statistical energy analysis

Blain de Bot - July 2015.

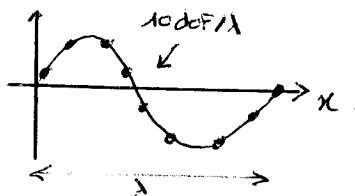
Reference: Foundation of statistical energy analysis in vibroacoustics - Blain de Bot - Oxford University Press - May 2015 - 978-0-17-872923-5.

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① Introduction

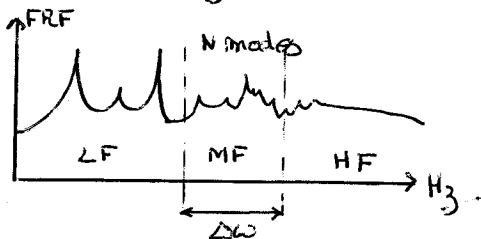
- At high frequencies, the wavelength λ is short. In finite element method, the number of degrees of freedom (DOF) per wavelength is fixed ($N \approx 10$)



system	limit
car	1000 Hz
aircraft	100 Hz
ship	10 Hz
launcher	1 Hz

The number of DOF increases with frequency. Beyond a certain limit, computations become untractable on actual computers.

- The number of modes increases with frequency.



modal density

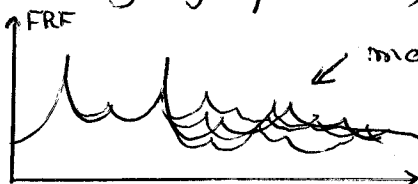
$$n = \frac{N}{\Delta \omega}$$

modal overlap

$$M = n \omega \tau$$

If modes are numerous, they overlap. Modes lose their individuality.

- At high frequencies, sensitivity of systems is high.



modes become unpredictable

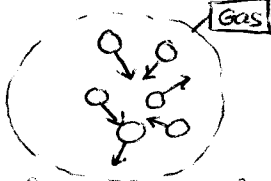
Two samples of a similar structure have different modes in HF.

The structure becomes non deterministic

1 → Statistical energy analysis is a statistical theory of sound and vibration when the number of modes is large and vibration is sufficiently disorganized.



SEA
large number of modes randomly excited



Gas
large number of molecules in random movement

modes ↔ molecules
diffuse field ↔ thermal equilibrium

② Modal density

Each finite structure (or bounded cavity) has a sequence of natural frequencies which tends to infinite.

Ex. string : $\omega_i = i \frac{\pi}{L} c$ and $\Psi_i(x) = \sin(i \frac{\pi x}{L})$ for $i = 1, 2, \dots$

Dimension 1

Resonance = integer number of half wavelengths

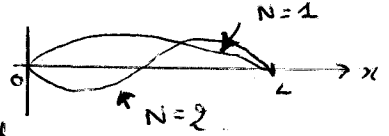
wavenumber

$$\frac{\pi}{L} L = N \leftarrow \# \text{ mode}$$

↑
length

dispersion relationship

$$\kappa(\omega)$$



modal density $n(\omega) = \frac{dN}{d\omega} = \frac{L}{\pi} \frac{d\kappa}{d\omega}$
group speed $c_g = \frac{d\omega}{d\kappa}$

⇒

dimension 1

$$n(\omega) = \frac{L}{\pi c_g}$$

↑ length
↑ group speed

Dimension 2

Resonance = integer number of half wavelengths in each direction

wavenumber vector

$$\vec{\kappa} = (\kappa_x, \kappa_y)$$

$$\frac{\pi x}{a} a = p \leftarrow \text{integer}$$

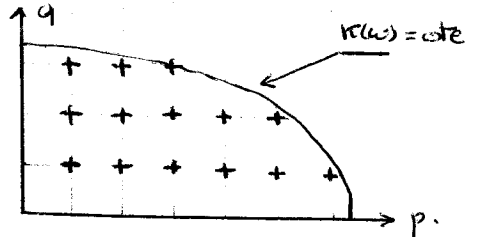
↑ length

$$\frac{\pi y}{b} b = q \leftarrow \text{integer}$$

↑ width

$(p, q) \in \mathbb{N}^2$

$N(\omega)$ number of modes below $\omega = \text{card} \{ (p, q) \in \mathbb{N}^2; (\frac{p\pi}{a})^2 + (\frac{q\pi}{b})^2 \leq \kappa^2(\omega) \}$



$N(\omega)$ is approximated by the area under the ellipse

$$1 = (\frac{p\pi}{a\kappa})^2 + (\frac{q\pi}{b\kappa})^2$$

$$N(\omega) \approx \frac{1}{4} \pi \times \frac{a\kappa}{\pi} \times \frac{b\kappa}{\pi} = \frac{ab\kappa^2}{4\pi}$$

modal density $n(\omega) = \frac{dN}{d\omega} = \frac{ab}{2\pi} \kappa \frac{d\kappa}{d\omega}$

phase speed $c_p = \frac{\omega}{\kappa}$

⇒

dimension 2

$$n(\omega) = \frac{S\omega}{2\pi c_p c_g}$$

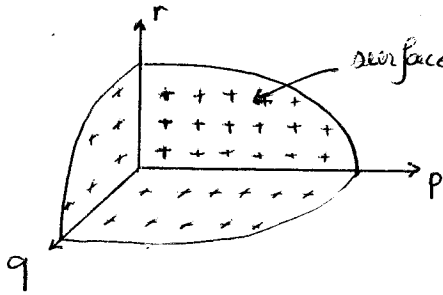
↑ surface
↑ phase speed

Dimension 3

Wavenumber vector $\vec{k} = (k_x, k_y, k_z)$

Resonance condition: $\frac{k_x}{\pi} a = p$; $\frac{k_y}{\pi} b = q$; $\frac{k_z}{\pi} c = r$ ($p, q, r \in \mathbb{N}^3$)

Number of modes below ω $N(\omega) = \text{card}\{(p, q, r) \in \mathbb{N}^3 \mid (\frac{p\pi}{a})^2 + (\frac{q\pi}{b})^2 + (\frac{r\pi}{c})^2 \leq k^2(\omega)\}$



$N(\omega)$ is approximated by the volume enclosed by the ellipsoid surface:

$$1 = \left(\frac{p\pi}{a\pi}\right)^2 + \left(\frac{q\pi}{b\pi}\right)^2 + \left(\frac{r\pi}{c\pi}\right)^2$$

$$N(\omega) = \frac{1}{8} \times \frac{4}{3} \pi \times \frac{p\pi}{a\pi} \times \frac{q\pi}{b\pi} \times \frac{r\pi}{c\pi} = \frac{abc \pi^3}{6\pi^3}$$

modal density $n(\omega) = \frac{dN}{d\omega} = \frac{abc}{2\pi^2} \pi^2 \frac{dk}{d\omega}$
 phase speed $c_p = \frac{\omega}{k}$ group speed $c_g = \frac{d\omega}{dk}$

dimension 3 volume

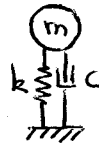
$$n(\omega) = \frac{V \omega^2}{2\pi^2 c_p^2 c_g}$$

phase speed group speed

③ Damping

At high frequencies, the vibrational level is controlled by damping

- viscous damping coefficient c
- modal damping ratio ζ
- damping loss factor η
- half-power bandwidth Δ



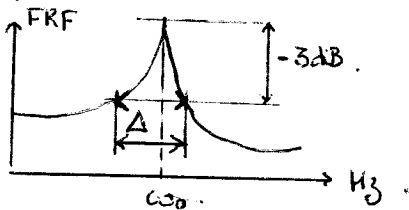
$$\zeta = \frac{c}{2m\omega_0}$$

$$\omega_0 = \sqrt{\frac{k}{m}}$$

$$\eta = 2\zeta$$

$$\Delta = \eta\omega_0$$

i) Half-power bandwidth



half-power bandwidth Δ

$$\text{damping loss factor } \eta = \frac{\Delta}{\omega_0}$$

This is a low frequency method.

ii) Reverberation time

- establish a diffuse field
- switch-off all sources.

Reverberation time = time for a decrease of 60 dB of vibrational level (or SPL).

time-decrease of energy $E(t) = E_0 e^{-2\alpha t}$

$$10 \log_{10} E(T_r) - 10 \log_{10} E_0 = -60$$

$$T_r = \frac{22 \times 2\pi}{\eta \omega}$$

in structures

$$T_r = 0,16 \frac{V}{\alpha S}$$

in rooms

(Sabine's formula)

iii) Power Balance

(steady-state condition)

$$P_{inj} = \eta \omega E$$

↑
injected power
↑
vibrational energy

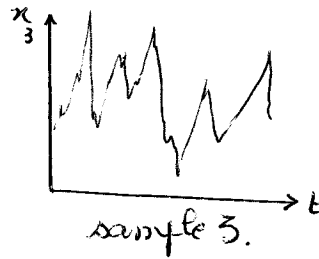
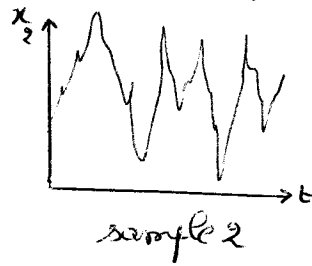
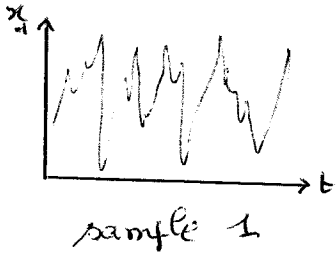
Measurement of P_{inj} with an impedance head.

Measurement of ω with accelerometers or vibrometer

This is a high frequency method.

④ Summary of random functions

A random function is a map $t \mapsto x(t)$ where $x(t)$ is a random variable.



probabilistic expectation
 $\langle \cdot \rangle$

Auto-correlation - power spectral density

t: initial time

τ : time delay

$$\langle x(t)x(t+\tau) \rangle = \frac{1}{2\pi} \int_{-\infty}^{\infty} S_{xx}(\omega) e^{i\omega\tau} d\omega$$

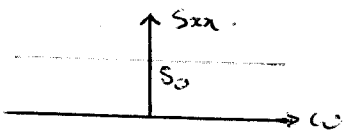
auto-correlation

power spectral density (PSD)

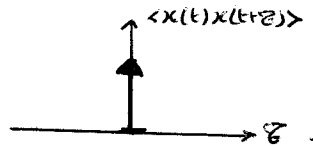
at $\tau = 0$

$$\langle x^2 \rangle = \frac{1}{2\pi} \int_{-\infty}^{\infty} S_{xx}(\omega) d\omega$$

White noise



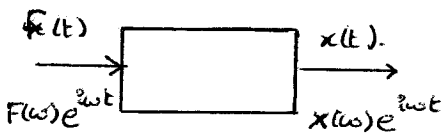
or



$$\begin{cases} S_{xx}(\omega) = S_0 \\ \langle x(t)x(t+\tau) \rangle = \frac{S_0}{2\pi} \delta(\tau) \end{cases}$$

Uncorrelation: x and y are said uncorrelated if $\langle x(t)y(t+\tau) \rangle = 0$ or $S_{xy}(\omega) = 0$.

Response to a linear system

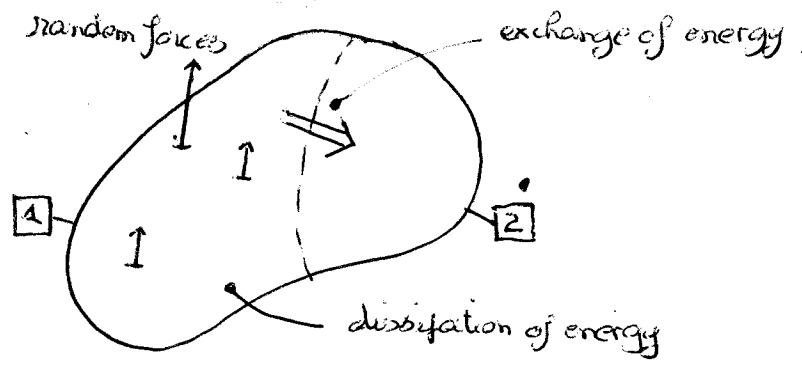


Frequency response function

$$H(\omega) = \frac{X(\omega)}{F(\omega)}$$

PSD of output: $S_{xx}(\omega) = |H(\omega)|^2 S_{FF}(\omega)$; $S_{\ddot{x}\ddot{x}}(\omega) = \omega^4 |H(\omega)|^2 S_{FF}$; $S_{\dot{x}\dot{x}} = i\omega H S_{FF}(\omega)$

⑤ Modal approach of statistical energy analysis



- flexible structure in vibration
- random forces
- dissipative processes

flexible structure excited by random forces

The goal of statistical energy analysis is to provide an analysis of vibrating structures in terms of energy and power.

E_i : vibrational energy in subsystem i

K_i : kinetic energy in subsystem i

V_i : elastic energy in subsystem i

$$E_i = K_i + V_i$$

How is the vibrational energy shared between the elastic and kinetic forms?

$P_{inj,i}$: power injected in subsystem i by external forces

$P_{diss,i}$: power dissipated in subsystem i

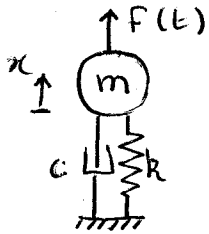
How to compute the injected power from spectrum of forces?

Is the dissipated power related to vibrational energy?

P_{ij} : power exchanged between subsystems i and j

Is there a relation between P_{ij} and E_i, E_j ?

Single resonator



$$\omega_0 = \sqrt{\frac{k}{m}}$$

$$\zeta = \frac{c}{2m\omega_0}$$

- linear mechanical oscillator
- stationary random force $F(t)$
- white noise force of spectrum S_0

governing equation

$$m\ddot{x} + c\dot{x} + kx = F(t)$$

frequency response function

$$H(\omega) = \frac{1}{m\omega_0^2 \left[1 + 2i\zeta \frac{\omega}{\omega_0} - \frac{\omega^2}{\omega_0^2} \right]}$$

power balance

$$\underbrace{\frac{d}{dt} \left[\frac{1}{2} m \dot{x}^2 + \frac{1}{2} k x^2 \right]}_{\text{vibrational energy}} + \underbrace{c \dot{x}^2}_{\text{dissipated power } P_{\text{dis}}} = \underbrace{F \dot{x}}_{\text{injected power } P_{\text{inj}}}$$

Labels under the equation:
 - $\frac{1}{2} m \dot{x}^2$: kinetic energy
 - $\frac{1}{2} k x^2$: elastic energy
 - $c \dot{x}^2$: dissipated power P_{dis}
 - $F \dot{x}$: injected power P_{inj}

i) Equality of kinetic and elastic energies

$$\langle V \rangle = \frac{1}{2} k \langle x^2 \rangle = \frac{k}{4\pi} \int_{-\infty}^{\infty} S_{xx}(\omega) d\omega = \frac{k S_0}{4\pi} \int_{-\infty}^{\infty} |H|^2 d\omega = \frac{k S_0}{8\pi^2 m^2 \omega_0^3}$$

$$\langle K \rangle = \frac{1}{2} m \langle \dot{x}^2 \rangle = \frac{m}{4\pi} \int_{-\infty}^{\infty} S_{\dot{x}\dot{x}}(\omega) d\omega = \frac{m S_0}{4\pi} \int_{-\infty}^{\infty} \omega^2 |H|^2 d\omega = \frac{m S_0}{8\pi^2 m^2 \omega_0}$$

$$\Rightarrow \langle V \rangle = \langle K \rangle$$

ii) Injected power

$$\langle P_{\text{inj}} \rangle = \langle F \dot{x} \rangle = \frac{1}{2\pi} \int_{-\infty}^{\infty} S_{F\dot{x}}(\omega) d\omega = \frac{S_0}{2\pi} \int_{-\infty}^{\infty} i\omega H(\omega) d\omega = \frac{S_0}{2m}$$

$$\langle P_{\text{inj}} \rangle = \frac{S_0}{2m}$$

iii) Mean power balance

$$\left\langle \frac{dE}{dt} \right\rangle = m \langle \dot{x} \ddot{x} \rangle + k \langle x \dot{x} \rangle = \frac{m}{2\pi} \int_{-\infty}^{\infty} S_{\dot{x}\ddot{x}}(\omega) d\omega + \frac{k}{2\pi} \int_{-\infty}^{\infty} S_{x\dot{x}}(\omega) d\omega = \frac{m S_0}{2\pi} \int_{-\infty}^{\infty} i\omega^3 |H|^2 d\omega + \frac{k S_0}{2\pi} \int_{-\infty}^{\infty} i\omega |H|^2 d\omega = 0$$

Labels under the equation:
 - $\int_{-\infty}^{\infty} i\omega^3 |H|^2 d\omega$: odd function
 - $\int_{-\infty}^{\infty} i\omega |H|^2 d\omega$: odd function

$$\langle P_{\text{dis}} \rangle = c \langle \dot{x}^2 \rangle = \frac{2c}{m} \times \frac{1}{2} m \langle \dot{x}^2 \rangle = \frac{2c}{m} \langle K \rangle = \frac{c}{m} \langle E \rangle$$

odd functions

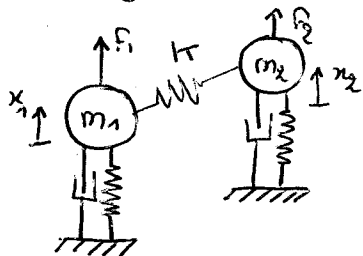
$$\langle P_{\text{dis}} \rangle = \langle P_{\text{inj}} \rangle$$

$$\langle P_{\text{dis}} \rangle = \frac{c}{m} \langle E \rangle$$

$$\frac{c}{m} \langle E \rangle = \langle P_{\text{inj}} \rangle$$

Pair of resonators

Syon and Sharton 1968



- linear mechanical oscillators
- stationary random forces
- white noises of spectrum S_1, S_2
- uncorrelated forces $S_{12} = S_{21} = 0$
- conservative coupling (inertial, gyroscopic and elastic)

governing equation

$$\underbrace{\begin{pmatrix} m_1 & 0 \\ 0 & m_2 \end{pmatrix}}_M \begin{pmatrix} \ddot{x}_1 \\ \ddot{x}_2 \end{pmatrix} + \underbrace{\begin{pmatrix} c_1 & 0 \\ 0 & c_2 \end{pmatrix}}_C \begin{pmatrix} \dot{x}_1 \\ \dot{x}_2 \end{pmatrix} + \underbrace{\begin{pmatrix} k_1 & -\kappa \\ -\kappa & k_2 \end{pmatrix}}_K \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} = \begin{pmatrix} F_1 \\ F_2 \end{pmatrix}$$

frequency response matrix

$$H(\omega) = [-M\omega^2 + i\omega C + K]^{-1}$$

power balance

$$\frac{d}{dt} \left[\underbrace{\frac{1}{2} m_1 \dot{x}_1^2}_{\text{kinetic energy } K_1} + \underbrace{\frac{1}{2} k_1 x_1^2 - \frac{1}{2} \kappa x_1 x_2}_{\text{elastic energy } V} \right] + \underbrace{c_1 \dot{x}_1^2}_{\text{dissipated power}} + \underbrace{\frac{1}{2} \kappa (\dot{x}_1 x_2 - \dot{x}_2 x_1)}_{\text{exchanged power } P_{12}} = \underbrace{F_1 \dot{x}_1}_{\text{injected power}}$$

kinetic energy K_1 ; elastic energy V ; dissipated power; exchanged power P_{12} ; injected power

i) Equality of kinetic and elastic energies

$$\langle V_2 \rangle = \langle K_2 \rangle$$

- This equality holds for each oscillator
- The elastic energy of the coupling has been splitted and attributed to oscillators.

ii) Injected power

$$\langle P_{inj,i} \rangle = \frac{S_i^0}{2m_i}$$

iii) Exchanged power

Since each product $\langle x_i^{(p)} x_j^{(q)} \rangle$ is a linear combination of the PSDs,

$$\langle P_{12} \rangle = (A_1 \ A_2) \begin{pmatrix} S_1 \\ S_2 \end{pmatrix} \text{ and } \begin{pmatrix} \langle E_1 \rangle \\ \langle E_2 \rangle \end{pmatrix} = \begin{pmatrix} E_{11} & E_{12} \\ c_{21} & E_{22} \end{pmatrix} \begin{pmatrix} S_1 \\ S_2 \end{pmatrix} \Rightarrow \langle P_{12} \rangle = (A_1 \ A_2) \left(E \right)^{-1} \begin{pmatrix} \langle E_1 \rangle \\ \langle E_2 \rangle \end{pmatrix}$$

So, by expanding $\langle P_{12} \rangle = B_1 \langle E_1 \rangle - B_2 \langle E_2 \rangle$

after calculating all integrals by the residue theorem

$$B = B_1 = B_2 = \frac{\kappa^2 (\Delta_1 + \Delta_2)}{[(\Omega_1^2 - \Omega_2^2)^2 + (\Delta_1 + \Delta_2)(\Delta_1 \Omega_2^2 + \Delta_2 \Omega_1^2)] m_1 m_2}$$

$$\langle P_{12} \rangle = B (\langle E_1 \rangle - \langle E_2 \rangle)$$

"blocked" natural frequencies

$$\Omega_i^2 = \sqrt{k_i / m_i}$$

power bandwidth

$$\Delta_i^2 = c_i^2 / m_i$$

Set of resonators

Newland 1966



- white noise forces of spectrum $S_i, i=1,2,\dots,N$
- uncorrelated forces
- conservative coupling
- light coupling

governing equation

$$M \ddot{X} + C \dot{X} + K X = F$$

perturbation technique

$$x_i(t) = x_{i0}(t) + \epsilon x_{i1}(t) + \epsilon^2 x_{i2}(t) + o(\epsilon^2)$$

light coupling $K \ll k_1, k_2$

$$K = \begin{pmatrix} k_1 & 0 \\ 0 & k_N \end{pmatrix} + \epsilon \begin{pmatrix} 0 & X \\ X & 0 \end{pmatrix}$$

↑
small parameter

i) Equality of kinetic and elastic energies

$$\langle V_i \rangle = \langle K_i \rangle$$

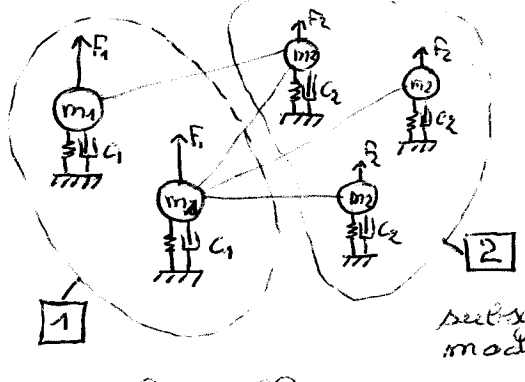
ii) Injected power

$$\langle P_{inj i} \rangle = \frac{S_i}{2 m_i}$$

iii) Exchanged power

$$\begin{cases} \langle P_{ij} \rangle = B (\langle E_i \rangle - \langle E_j \rangle) + o(\epsilon^2) \\ B = B_0 \epsilon^2 \end{cases}$$

Subsystems of resonators



- conservative and light coupling
- subsystem = {
 - uncoupled resonators
 - same m_i, c_i
- "rain-on-the-roof" forces
 - white noise forces
 - uncorrelated forces
 - PSD constant within subsystems

Canonical problem

$$\begin{cases} m_i \ddot{x}_{i\alpha} + c_i \dot{x}_{i\alpha} + k_{i\alpha} x_{i\alpha} = f_{i\alpha} + \sum_{j \neq i} \sum_{\beta} K_{\alpha\beta} x_{j\beta} \\ E_{i\alpha} = \frac{1}{2} m_i \dot{x}_{i\alpha}^2 + \frac{1}{2} k_{i\alpha} x_{i\alpha}^2 - \frac{1}{2} k_{\alpha\beta} x_{i\alpha} x_{j\beta} \\ P_{i\alpha, j\beta} = \frac{1}{2} k_{\alpha\beta} (x_{i\alpha} \dot{x}_{j\beta} - \dot{x}_{i\alpha} x_{j\beta}) \end{cases} \quad \begin{aligned} E_i &= \sum_{\alpha} E_{i\alpha} \\ P_{ij} &= \sum_{\alpha, \beta} P_{i\alpha, j\beta} \end{aligned}$$

By previous result $\langle P_{ij} \rangle = \sum_{\alpha, \beta} \epsilon^2 B_{i\alpha, j\beta} (\langle E_{i\alpha} \rangle - \langle E_{j\beta} \rangle) + o(\epsilon^2)$

But the energy of uncoupled resonators ($\epsilon=0$) is $S_i / 2 c_i$. Thus

$$\langle E_{i\alpha} \rangle = \frac{S_i}{2 c_i} + o(1) \quad \langle E_{i\alpha} \rangle = \frac{\langle E_i \rangle}{N_i} + o(1) \quad \text{equipartition of energy}$$

← PSD constant ← damping constant

Coupling power proportionality

$$\langle P_{ij} \rangle = \left(\sum_{\alpha, \beta} B_{i\alpha, j\beta} \right) \left(\frac{\langle E_i \rangle}{N_i} - \frac{\langle E_j \rangle}{N_j} \right) + o(\epsilon^2)$$

The coupling factor $\sum_{\alpha, \beta} B_{i\alpha, j\beta}$ is a very complicated function that depends on "blocked" natural frequencies and ^{half-}power bandwidth of all resonators. In practice, its effective computation is costly ($N \sim 10^6$) and requires the knowledge of all details of the ^{sub-}systems.

↳ This is exactly what we want to avoid in SEA.

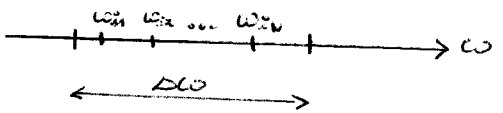
To "forget" the exact positions of eigenfrequencies, we need to approximate the discrete sum by an integral.

$$\frac{1}{N} \sum_{\alpha=1}^N f(\omega_\alpha) \approx \int_{-\infty}^{\infty} f(\omega) p(\omega) d\omega$$

↑
well-chosen pdf

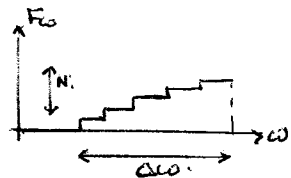
$$\frac{1}{N_1 N_2} \sum_{\alpha, \beta} f(\omega_\alpha, \omega_\beta) \approx \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} f(\omega, \omega') p_1(\omega) p_2(\omega') d\omega d\omega'$$

How to choose the pdf?



empirical cumulative distribution function

$$F_{\omega_i}(\omega) = \frac{1}{N_i} \text{Card} \{ \alpha / \omega_{i\alpha} \leq \omega \}$$



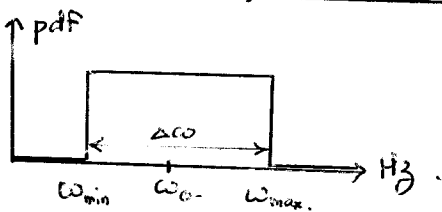
$$p_{\omega_i}(\omega) = \frac{d}{d\omega} F_{\omega_i} = \frac{n_i(\omega)}{N_i}$$

modal density

$$n_i(\omega) = \frac{N_i}{\Delta\omega}$$

← number of eigenfrequencies
↑ frequency bandwidth

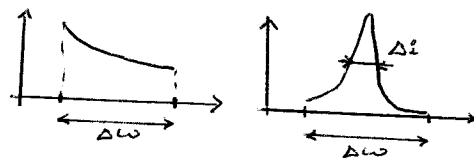
Case of uniform modal density (Newland 1968)



• light damping $\Delta_i \ll \Delta\omega$
 ↑ half-power bandwidth ↓ frequency bandwidth

$$\sum_{\alpha, \beta} B_{i\alpha, j\beta} = \frac{\kappa^2}{m_i m_j} \int_{\omega_{min}}^{\omega_{max}} \int_{\omega_{min}}^{\omega_{max}} \frac{\Delta_i^2 \Delta_j^2}{[(\omega_i^2 + \omega_j^2)^2 + \frac{(\Delta_i + \Delta_j)^2}{4}]} [(\omega_i - \omega_j)^2 + \frac{(\Delta_i - \Delta_j)^2}{4}] d\omega_i d\omega_j$$

$$\sum_{\alpha, \beta} B_{i\alpha, j\beta} = \frac{\pi \kappa^2 N_i N_j}{2 m_i m_j \omega_c^2 \Delta\omega}$$



Coupling power proportionality

$$\langle P_{ij} \rangle = \omega_c p_{ij} N_i \left(\frac{\langle E_i \rangle}{N_i} - \frac{\langle E_j \rangle}{N_j} \right)$$

↑ coupling loss factor

Reciprocity

$$p_{ij}^{\omega} N_i = p_{ji}^{\omega} N_j$$

Ensemble of similar systems.

$$\frac{1}{N_i N_j} \sum_{\alpha, \beta} \sim \int \int_{\Delta\omega \Delta\omega'} - d\omega d\omega'$$

- System with a large number of modes $N_i \gg 1$ and $N_j \gg 1$
SEA applies to a unique system
- Population of similar systems with random variations (Gibb's ensemble)
SEA applies to the average system

$$N_e \cdot N_m \gg 1$$

↑ ↑
number of members number of modes
in the ensemble in each member

Coupled beams. Lotz and Brandell 1971



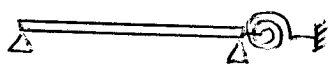
• non resonant modes are neglected

governing equation

$$m_i \frac{\partial^2 u_i}{\partial t^2} + c_i \frac{\partial u_i}{\partial t} + E_i I_i \frac{\partial^4 u_i}{\partial x^4} = F_i(x,t) + K \frac{\partial u_j}{\partial x} S'(x)$$

↑ ↑
external force coupling force

blocked modes $\Psi_{i\alpha}(x)$



$$E_i I_i \frac{d^4 \Psi_{i\alpha}(x)}{dx^4} = m_i \omega_{i\alpha}^2 \Psi_{i\alpha}(x)$$

• modes are orthogonal.

• they form a complete set $u_i(x,t) = \sum_{\alpha} U_{i\alpha}(t) \Psi_{i\alpha}(x)$

reduction to the canonical problem

$$\begin{cases} m_i \ddot{U}_{i\alpha} + c_i \dot{U}_{i\alpha} + m_i \omega_{i\alpha}^2 U_{i\alpha} = F_{i\alpha} + \sum_{\beta} K \Psi_{i\alpha}(0) \Psi'_{j\beta}(0) U_{j\beta} \\ E_{i\alpha} = \frac{1}{2} m_i \dot{U}_{i\alpha}^2 + \frac{1}{2} m_i \omega_{i\alpha}^2 U_{i\alpha}^2 - \frac{1}{2} \sum_{\beta} K \Psi_{i\alpha}(0) \Psi'_{j\beta}(0) U_{i\alpha} U_{j\beta} \\ P_{i\alpha j\beta} = \frac{1}{2} K \Psi_{i\alpha}(0) \Psi'_{j\beta}(0) [U_{i\alpha} \dot{U}_{j\beta} - \dot{U}_{i\alpha} U_{j\beta}] \end{cases}$$

coupling power proportionality

$$\langle P_{ij} \rangle = \omega \rho_{ij} n_i \left(\frac{\langle E_i \rangle}{n_i} - \frac{\langle E_j \rangle}{n_j} \right)$$

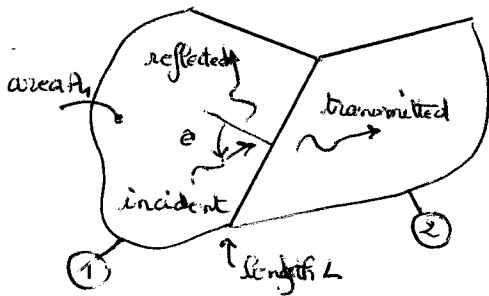
↑ ↑
CLF modal density

$$P_{ij} = \frac{K^2}{2L (m_i E_i I_i)^{1/2} m_j^{1/4} (E_j I_j)^{3/4} \omega^{3/2}}$$

↑ ↑ ↑
beam length mass per unit length bending stiffness

⑥ Coupling loss factors

Wave approach



• Diffuse field (homogeneous + isotropic)

$$P_{1 \rightarrow 2} = \omega \gamma_{12} E_1$$

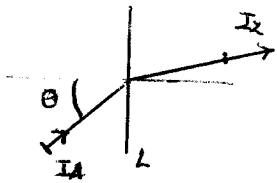
↑ power transmitted from 1 to 2
 ↑ vibrational energy

specific intensity: $I_A = \frac{c \gamma_1}{2\pi A_1} \frac{E_1}{\omega}$

↑ group speed
 ↑ surface

transmission efficiency: $T(\theta) = \frac{P_{\text{transmitted}}}{P_{\text{incident}}}$

← transmitted power
 ← incident power



$$P_{1 \rightarrow 2} = \int_L \int_{\Omega} I_1 \omega E T(\theta) d\theta dL$$

$$P_{1 \rightarrow 2} = \frac{E_1}{2\pi A_1} c \gamma_1 L \int_{-\pi/2}^{\pi/2} T(\theta) \omega \cos \theta d\theta$$

Hence

dimension 2

$$P_{12} = \frac{L c \gamma_1}{\pi \omega A_1} \int_0^{\pi/2} T(\theta) \omega \cos \theta d\theta$$

coupling length + plate area

dimension 3

$$\gamma_{12} = \frac{5 c \gamma_1}{4\pi \omega V_1} \int_0^{2\pi} \int_0^{\pi/2} T(\theta, \psi) \omega \cos \theta \sin \theta d\theta d\psi$$

coupling surface norm volume

The problem reduces to the computation of the mean transmission efficiency.

Identification procedure

System = { n subsystems }

The subsystems are alternatively excited by a source of unit power.

E_j^i : energy in subsystem j for a unit power in subsystem i

$$\begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \\ 0 \\ 0 \end{pmatrix} = \begin{pmatrix} \gamma_{11} \\ \gamma_{12} \\ \gamma_{21} \\ \gamma_{22} \\ \gamma_{31} \\ \gamma_{32} \end{pmatrix} \begin{pmatrix} E_1^i \\ | \\ E_n^i \end{pmatrix} \quad n \text{ equations}$$

↑ measured
 ↑ unknown
 ↑ measured

Repeating the experiment for other source subsystems gives n^2 equations.

The coupling loss factors γ_{ij} are obtained by solving these equations.

Bemerk: reciprocity of CLF has not been used.

⑦ Energy balance

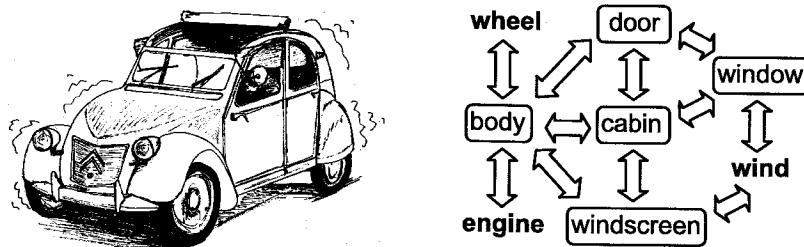


Fig. 10.1 Example of substructuring a truly vibrating system.

For each subsystem, the energy balance reads

$$P_i = P_{dis,i} + \sum_{j \neq i} P_{ij}$$

↑ injected power
 ↑ dissipation
 ↑ exchanged power

loss by damping $P_{dis,i} = \rho_{dis} \omega E_i$

loss by coupling $P_{ij} = \omega \gamma_{ij} E_i - \omega \gamma_{ji} E_j$

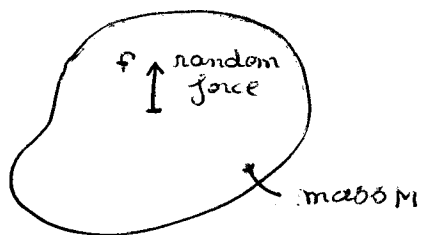
Hence

$$P_i = \rho_{dis} \omega E_i + \sum_{j \neq i} (\gamma_{ji} \omega E_i - \gamma_{ij} \omega E_j)$$

In a matrix form

$$\omega \begin{pmatrix} \sum_j \gamma_{ji} & & & \\ & -\gamma_{ii} & & \\ & & & -\gamma_{ii} \\ & & & \sum_j \gamma_{ij} \end{pmatrix} \begin{pmatrix} E_1 \\ \vdots \\ E_n \end{pmatrix} = \begin{pmatrix} P_1 \\ \vdots \\ P_n \end{pmatrix}$$

⑧ Injected power



Injected power $P = \langle Fv \rangle = \frac{1}{2\pi} \int_{-\infty}^{\infty} S_{Fv}(\omega) d\omega$

↑ force
 ↑ velocity

$$P = \frac{1}{2\pi} \int_{-\infty}^{\infty} S_{FF}(\omega) \gamma(\omega) d\omega$$

↑ mobility

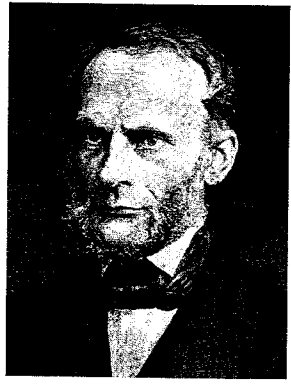
$$P = \langle f^2 \rangle \frac{1}{4M} \int_{-\infty}^{\infty} \text{Re} \{ \gamma(\omega) \} d\omega$$

A statistical estimation of the mean conductance (real part of the mobility) gives,

$$P = \langle F^2 \rangle \times \frac{\pi n(\omega)}{2M}$$

↑ square mean force
 ↑ modal density
 ↑ mass of subsystem

9) Entropy in statistical energy analysis



Clausius' postulate:

Heat cannot be transferred from cold body to hot body without converting heat into work.



R. Clausius

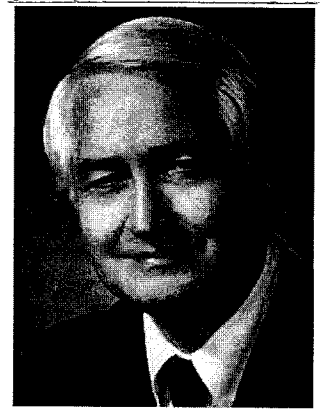
Heat spontaneously flows from hot body to cold body.

Coupling power proportionality:

$$P_{ij} = \omega \eta_{ij} N_i \left(\frac{E_i}{N_i} - \frac{E_j}{N_j} \right)$$

↑ vibrational power
 ↑ high modal energy
 ↑ low modal energy

Vibrational energy flows from high modal energy to low modal energy



R.H. Lyon

Analogy: Heat $\delta Q \leftrightarrow$ vibrational energy dE
 Temperature $T \leftrightarrow$ modal energy E/N

Clausius entropy: $dS = \frac{\delta Q}{T} \leftrightarrow$ vibrational entropy

$$dS = N \frac{dE}{E}$$

↑ variation of energy
 ↑ variation of entropy
 ↑ # of modes

i) Production of entropy

Sources warm up the system by injecting energy

$$\frac{dS_{inj,i}}{dt} = \frac{N_i}{E_i} \frac{dE_i}{dt} = \frac{N_i P_{inj,i}}{E_i} > 0$$

ii) Dissipation of entropy

Dissipation cool down the system by extracting energy

$$\frac{dS_{diss,i}}{dt} = -\frac{N_i}{E_i} P_{diss,i} \quad \text{but } P_{diss,i} = \eta_i \omega E_i \quad \text{therefore } \frac{dS_{diss,i}}{dt} = -\eta_i \omega N_i < 0$$

iii) Mixing entropy

$$\frac{dS_{mix}}{dt} = P_{ij} \left(\frac{N_j}{E_j} - \frac{N_i}{E_i} \right) \quad \text{but } P_{ij} = \omega (\eta_{ij} E_i - \eta_{ji} E_j) \quad \text{therefore } \frac{dS_{mix}}{dt} = \omega (\eta_{ij} E_i - \eta_{ji} E_j) \left(\frac{N_j}{E_j} - \frac{N_i}{E_i} \right) > 0$$

iv) Entropy balance

$$\frac{dS}{dt} = \sum_i \left[\frac{N_i}{E_i} P_{inj,i} - \frac{N_i}{E_i} \eta_i \omega E_i \right] + \sum_{i>j} \left(\frac{N_j}{E_j} - \frac{N_i}{E_i} \right) P_{ij} = 0$$

↑ production entropy
 ↑ dissipated entropy
 ↑ mixing entropy